

## 042 AUTHENTICATION CODE (NR)

First and second indicator

# Undefined

Subfields

a Authentication code (R)

dc	Dublin core [Not to be retained in CONSER records]
isds/c	ISSN/Canada
lc	Library of Congress
lcac	LC Annotated Children's Cataloging Program
lccopycat	LC Copy Cataloging
lcd	CONSER/LC full authority application
msc	CONSER/LC minimal authority application
nlc	National Library of Canada
nsdp	National Serials Data Program
premarc	LC PREMARC retrospective conversion
xisds/c	ISSN/Canada does not consider the item a serial
xlc	LC does not consider the item a serial
xnlc	NLC does not consider the item a serial
xnsdp	NSDP does not consider the item a serial

---

### Description/Instructions

Field 042 contains one or more codes that represent different levels of authentication of CONSER records. Codes "lc", "nlc", "nsdp" and "isds/c" represent specific agencies or Centers of Responsibility. Codes "lcd", "msc", and "lccopycat" used by some sections of the Library of Congress and CONSER members, reflect the status of name headings in the record.

The presence of any of the above codes signifies that the record has been reviewed by a CONSER member and/or Center of Responsibility as to data content, content designation, and conformity with standards and practices agreed upon by CONSER members. Codes are input in the order in which the authentication activity occurs, except that 'x' codes are always input last.

## Codes

**dc** - Beginning in July 2000, records created according to the Dublin core metadata standard on the CORC database enter WorldCat identified as encoding level '3' and with code 'dc' in field 042. CONSER members should change both the encoding level and the 042 code to the appropriate codes when authenticating the record. Do not retain code 'dc' in the record.

**lc** - Code "lc" signifies that descriptive elements and headings are verified by the Library of Congress. LC authenticates records for items held that are not within the purview of the National Library of Canada.<sup>1</sup> Additionally, the Library of Congress adds LC-specific elements to records for Canadian imprints that are part of its collection. Beginning in Oct. 2004, LC will use code "lc" to authenticate original records only.

**lcac** - Code "lcac" signifies that the cataloging record was handled as part of the Library of Congress Annotated Children's Cataloging Program whereby summaries and children's subject headings were added to facilitate the use of the item by a juvenile audience.

**nlc** - Code "nlc" signifies that descriptive elements and headings are verified by the National Library of Canada. NLC authenticates records for Canadian imprints and records of Canadian interest.

**nsdp** - Code "nsdp" signifies that the ISSN-related data elements are verified by the National Serials Data Program. NSDP authenticates ISSN-related data elements for U.S. imprints. NSDP also authenticates ISSNs and key titles for records received from other ISSN centers with the exception of Canadian imprints authenticated by ISSN Canada.

**isds/c** - Code "isds/c" signifies that the ISSN-related data elements are verified by ISSN Canada. ISSN Canada authenticates ISSN-related data elements for Canadian imprints.

**lcd** - Code "lcd" signifies that descriptive elements are verified by NSDP or other CONSER members and that all name and series headings appear in the LC/NACO Authority file. Older records may also have been verified by NST and the CONSER Minimal Level Cataloging Section at LC. Code "lcd" is also used when all descriptive elements have been verified and there are no headings appropriate to the record. (See also no. 4 under **Updating authenticated records** below.)

---

<sup>1</sup>LC will continue to accept records authenticated by the National Library of Canada (code "nlc" in 042) without change and authenticate them at "lc" as has been past practice.

Previously, LC catalogers changed code "lcd" to "lc" when authenticating a CONSER record. Beginning in Oct. 2004, LC will retain the "lcd" authentication used by CONSER institutions when modifying CONSER records to add LC data.

**msc** - Code "msc" signifies that descriptive elements are verified by LC or a CONSER member, including U.S. Newspaper Program participants. All headings have been checked against the name authority file, but an authority record was not found for one or more headings. Use code "msc" only in minimal level records, unless it is also accompanied by the "premarc" code (see below.) Code "msc" is used by NSDP in all prepub records because headings are not checked in these records.

**lccopycat** - Code "lccopycat" signifies that the Library of Congress has used a non-CONSER organization's record as the basis for its cataloging. The presence of this code also indicates that the copy record has been accepted with minimal change, if any, and that because of this, there is no guarantee that the record reflects LC documentation and practice. All name and LC subject headings are checked against the relevant authority files and established if not found. LC will not perform further verification, such as addressing the appropriateness of pre-existing subject headings. LC only corrects obvious typos and egregiously misleading errors, i.e., 1) they result in seriously misleading description (e.g., someone might regard the item to be a different one were a change not made); and/or 2) they might result in seriously misleading access (e.g., the item is about Australia, but the subject heading is for Austria.) (See also no. 1.e., and no. 5 below.)

**premarc** - Code "premarc" signifies that the record was converted to machine-readable form as part of Library of Congress retrospective conversion. The source records used are printed catalog cards taken from the LC general shelflist and other manual LC files, or OCLC records that have been amalgamated with data from LC manual files. The bibliographic description has not necessarily been verified or reverified by LC, but the authoritativeness of the headings is indicated by the additional presence of code "lcd" or "msc."

**x...** - Codes that begin with the letter "x" are used by centers of responsibility to indicate that the agency did not consider the publication a serial at the time the publication was evaluated for authentication. LC adds code "xlc" to an OCLC serial record when an LC serial cataloger determines that the title is a monograph or integrating resource according to the definition of a serial and LCRI 1.0. To help make this determination, LC considers all information in the online database and other sources. Code "xlc" is not added to records for series. If subsequent developments or information warrant it, the title may be recataloged as a serial and the record authenticated.

One center of responsibility may consider the item to be a serial, while another may not. Consequently, a mix of authentication/rejection codes can be recorded. When this is the case, the "x..." code should be the last code.

*042 ## nsdp \$a xlc*

In some cases, especially with conference publications, a CONSER member may wish to authenticate a serial record on which LC has added xlc to the 042.

*042 ## lcd \$a xlc*

**nst** - Records authenticated by LC's New Serial Titles Section from 1981 through 1984 were coded "nst." From 1985 through March 1993 (when the NST Section was abolished) NST cataloging editors used "lcd" and "msc." However, because NST did not verify the descriptive elements of records contributed by NST reporting libraries, the descriptive elements in these records ("NST" in field 040 \$c or 042 nst) are only as accurate as reported by the NST reporting libraries.

### **CONSER member authentication**

CONSER members authenticate the records they create or modify. These records may or may not receive further review by a Center of Responsibility. The presence of a valid authentication code in field 042 triggers the output of the record by OCLC to LC and the subsequent distribution of the record by the MARC Distribution Service--Serials. (See C1. for further explanation.)

### **Updating authenticated records**

1. Records with codes "nsdp", "lcd", "msc", "nst", "premarc", or "lccopycat" may be updated using the institution's regular CONSER log-on authorization. Apply the following guidelines for updating field 042:
  - a. Always leave code "nsdp" in the record. Add an additional code, as appropriate.
  - b. CONSER catalogers may change code "msc" to "lcd" when providing authoritative headings.
  - c. Code "nst" should be removed and replaced with code "lcd" or "msc" as appropriate.
  - d. Code "premarc" should be retained in the record. It is usually accompanied by code

- “msc”, or “lcd”. CONSER participants are free to upgrade or maintain these records as necessary but should not remove the “premarc” code.
- e. Records with code “lccopycat” may be upgraded to “lcd” by CONSER catalogers when verifying description according to CONSER standards.
  - f. Code “msc” is never paired with nor changed to code “lccopycat” and is considered a higher authentication level than “lccopycat.”
2. Records with code "lc" may be updated by a senior cataloger at designated institutions with a CONSER National log-on authorization. The modifications are to be confined to those set forth in Section C3.
  3. There are some restrictions on changing descriptive cataloging in records with code "nlc"; consult C12.
  4. Prior to fall 1989, records authenticated by CONSER members, NSDP, NST, or the CONSER Minimal Level Cataloging Section at LC that contained no headings were coded "msc". CONSER (including LC) catalogers subsequently using these records should change the 042 code to "lcd" after completing authority work.
  5. Historically, LC has usually authenticated copy cataloging at the same level as that done for original cataloging, i.e., “lc” in the 042. This level of authentication requires strict adherence to the full-level standard for serials in the CONSER program. It also requires more scrutiny of all bibliographic elements present in the record that is to be authenticated. In essence, the LC cataloger must “recatalog” the item in hand to authenticate the record at “lc.” Beginning in Oct. 2004, LC will retain the “lcd” authentication used by CONSER institutions in all records with which LC amalgamates. LC will authenticate non-CONSER copy as “lccopycat”. For non-CONSER copy, in rare instances where LC is unable to establish a heading from a piece in hand, LC will cite the usage in the bibliographic record to establish an authority record and authenticate with code “lccopycat.”

### **Multiple authentication codes**

1. When a record is authenticated by more than one authenticating agency (e.g., the National Library of Canada for the cataloging data and ISSN Canada for the ISSN-related elements), the subfield is repeated.

## 042

page 6

---

*042 ## lc \$a nsdp*  
*042 ## nlc \$a isds/c*  
*042 ## nsdp \$a lcd*  
*042 ## nsdp \$a xlc*

2. When a serial authenticated by either ISSN/Canada or NSDP moves its place of publication from Canada to the U.S., or vice versa, responsibility for ISSN-related data elements is assumed by the Center of Responsibility in the new country of publication. The authentication code for the current authenticating agency is added to field 042. The ISSN center code in 008/20 is also changed to reflect the current center of responsibility.

*042 ## nlc \$a isds/c \$a nsdp*  
*008/20 changed from 4 to 1*

| **Related fields, etc.** A5, C1, C2, C3, C7.